

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: International Business Machines Corporation

Application No./Patent No.: 7,117,322

Filed/Issue Date: October 3, 2006

Entitled: METHOD, SYSTEM, AND PROGRAM FOR DEFINING ASSET CLASSES IN A DIGITAL LIBRARY

International Business Machines Corporation
(Name of Assignee)

Corporation
(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. the assignee of the entire right, title, and interest; or
2. an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 014298, Frame 00212, or for which a copy thereof is attached.

OR

B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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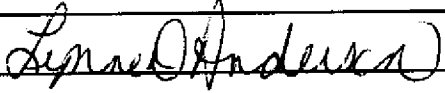
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Additional documents in the chain of title are listed on a supplemental sheet.

Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to: Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature:		Date:	<u>2-20-07</u>
Name	Lynne D. Anderson	Telephone Number	703-299-1455
Title	Program Manager - U.S. Patent and Trademark Office Liaison		

37.55

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FEB 05 2004

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BY gab

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RECORDATION DATE: 02/02/2004

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NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

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DOC DATE: 01/27/2004

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DOC DATE: 01/27/2004

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DOC DATE: 01/27/2004

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DOC DATE: 01/28/2004

014298/0021 PAGE 2

ASSIGNEE:

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SERIAL NUMBER: 10658487
PATENT NUMBER:

FILING DATE: 09/08/2003
ISSUE DATE:

MARY BENTON, EXAMINER
ASSIGNMENT DIVISION
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02/02/2004

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1. Name of conveying party(ies):

Execution Date

- 1) Avishai Haim Hochberg,
- 2) Toby Lyn Merck,
- 3) David Maxwell Cannon,
- 4) Howard Newton Martin,
- 5) Donald Paul Warren, Jr.,
- 6) Mark Alan Hays

- 1) January 27, 2004,
- 2) January 27, 2004,
- 3) January 27, 2004,
- 4) January 27, 2004,
- 5) January 27, 2004,
- 6) January 28, 2004

2. Name and address of receiving party(ies):

International Business Machines Corporation
Armonk, New York 10504Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ NoAdditional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
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4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s): 10/656,487

B. Patent No.(s): _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

David W. Victor
KONRAD RAYNES & VICTOR, LLP
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Beverly Hills, CA 90212

6. Total number of applications and patents involved: [1]

7. Total fee (37 CFR 3.41).....\$ 40

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number: 09-0486

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.

David W. Victor

Name of Person Signing

Signature

February 2, 2004

Date

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I hereby certify that this correspondence is being transmitted by facsimile to Assignment Recordation Services of the U.S. Patent and Trademark Office at 703-306-5995 on February 2, 2004.

Jeffrey Bell

2/2/04

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LETTER OF AUTHORITY

I, Patrick Toole, Vice President, Intellectual Property and Standards of International Business Machines Corporation (IBM), a New York corporation, do hereby delegate the authority to approve and execute documents on behalf of IBM relating to proceedings in the Patent, Trademark Registration or Copyright offices servicing any country or region of the world, or to related appeal proceedings, including, but not limited to: petitions; powers of Attorney, authorizations; verification; nominations of representatives; declarations; documents relating to maintenance and defense of the resulting industrial property rights; assignments of rights to apply for and acquire patents and trademark registrations; and evidence of such assignments; requests for the registration of patents as available for licensing, reports of inventions and petitions for waiver of patent rights to any department or agency of the United States Government; and, assignments, licenses and other instruments confirmatory of Government rights in patents and inventions, to Lynne D. Anderson, program manager, U.S. Patent and Trademark Office liaison.

Date: March 30, 2006

A handwritten signature in cursive script, appearing to read "P. Toole", written over a horizontal line.

Patrick Toole
Vice President, Intellectual Property & Standards
International Business Machines Corporation